

Last modified: 02/20/20

Neiman Marcus Group

CODE	CARTON LABELING & PACKAGING	AMOUNT	UOM	SERVICE FEE
301	CARTON LABEL MISSING REQ INFO	\$10	CARTON	\$150
302	CARTON LABEL INACCURATE INFO	\$10	CARTON	\$150
303	GS-1 LABEL PLACEMENT INCORRECT	\$10	CARTON	\$150
304	GS-1 LABEL NON-SCANNABLE	\$10	CARTON	\$150
305	NOT PACKED by SKU/STYLE as EXPECTED	\$10	CARTON	\$150
306	NOT PACKED by STORE as EXPECTED	\$10	CARTON	\$150
307	UNAPPROVED 20 DIGIT BARCODE	\$10	CARTON	\$150
308	UNAUTHORIZED PACKING MATERIAL	\$5	CARTON	\$150
309	NO SECURITY TAPE	\$5	CARTON	\$150
310	NO CARTON INSERT	\$5	CARTON	\$150
311	CARTON WEIGHT EXCEEDS MAX	\$5	CARTON	\$150
312	CARTON SIZE EXCEEDS MAX	\$5	CARTON	\$150
313	INSUFFICIENT PACKING MATERIAL IN CARTON	\$5	CARTON	\$150

CODE	FLOOR READY	AMOUNT	UOM	SERVICE FEE
401	NO OR NON-COMPLIANT HANGER	\$0.40	EA	\$250
402	UNAPPROVED HANGER DEPT (NMD)	\$0.40	EA	\$250
403	NO STYLE# ON MERCHANDISE	\$0.10	EA	\$250
404	NO HANDBAG/SHOE DUST COVERS (NMD)	\$7	EA	\$250
405	UNSECURED SHOE BOX LID	\$0.10	EA	\$250
406	NO POUCH W/JEWELRY SHIPMENT (NMD)	\$1	EA	\$250
407	PROTECTIVE COVERING - MISSING OR NOT ACCORDING TO ITEM DIMENSIONS (GOH)	\$0.25	EA	\$250
408	NOT PACKED BY SELLING UNIT	\$500	SHIPMENT	
409	PREP	\$500	SHIPMENT	
410	100% INSPECTION (Failed QA Audit)	\$500	SHIPMENT	
411	MISSING TICKET	\$0.10	EA	\$250
412	INCORRECT UPC ON TICKET	\$0.10	EA	\$250
413	MISSING/INCORRECT INFO ON TICKET	\$0.10	EA	\$250
414	UPC NOT SCANNABLE	\$0.10	EA	\$250
415	RETAIL NOT ON UPC TICKET	\$0.10	EA	\$250
416	INCORRECT RETAIL ON TICKET	\$0.10	EA	\$250
417	INCORRECT TICKET ON MERCHANDISE	\$0.10	EA	\$250
418	MISSING/INCORRECT INFO ON TICKET	\$0.10	EA	\$250

CODE	EDI/ASN/SHIPMENT ACCURACY	AMOUNT	UOM	SERVICE FEE
501	MISSING ASN	\$10	CARTON	\$250
502	INCORRECT DC LOCATION	\$500	ASN	
503	INCORRECT STORE/DC LOCATION	\$500	ASN	

504	ASN Qty > PO Qty (SE)	\$250	ASN	
505	GTIN TRANSMITTED - NOT ON PO (UP or UI)	\$500	ASN	
506	GTIN TRANSMITTED - NOT ON PO/STORE (US)	\$500	ASN	
507	SKU NOT EXPECTED	\$50	CARTON	\$250
508	SKU NOT IN CARTON	\$50	CARTON	\$250
509	SKU NOT ON ASN/SHIPMENT	\$50	CARTON	\$250
510	ASN CASE UNIT SHORTAGE	\$50	CARTON	\$250
511	ASN CASE UNIT OVERAGE	\$50	CARTON	\$250
512	OVERALL SHIPMENT ACCURACY <98%	\$250	ASN	
513	INVALID 856 DATA FORMAT	\$250	ASN	
514	INVALID 810 DATA FORMAT	\$10	PER INVOICE	\$150
517	MISSING 810 INVOICE	\$250	PER PO	

CODE	PACKING SLIP	AMOUNT	UOM	SERVICE FEE
601	NO PACKING SLIP AS REQUIRED	\$10	CARTON	\$250
602	MISSING P/S INFO	\$5	CARTON	\$250
603	INACCURATE P/S INFO	\$5	CARTON	\$250

CODE	PURCHASE ORDER	AMOUNT	UOM	SERVICE FEE
701	PO EARLY	\$250	PO	
702	PO LATE/CANCELLED	\$250	PO	
703	MISROUTE	\$250	PO	

CODE	FTC REQUIREMENTS	AMOUNT	UOM	SERVICE FEE
901	FUR LABELING NOT COMPLIANT OR MISSING	\$1000	SHIPMENT	
902	NO COUNTRY OF ORIGIN ON MERCHANDISE	\$1000	SHIPMENT	
903	MULTIPLE COUNTRIES OF ORIGIN	\$1000	SHIPMENT	
904	MISSING FABRIC CONTENT	\$1000	SHIPMENT	

Drop Ship

Compliance violation amounts will be issued per PO @ Order cost:

* Charge of **\$25** for Order Cost **less than \$300**

* Charge of **\$150** for Order Cost **greater than \$300**

CODE	VIOLATIONS
201	LATE SHIP
202	MULTIPLE SHIP DATES
203	FURNITURE/RUG EARLY NO NOTIFICATION
204	FAILURE TO RESPOND TO COMMUNICATION WITHIN 48HRS
210	PACKING LIST RECIEVED AFTER 48HRS
211	INCORRECT SUBMISSION OF PACKING LIST
212	NO PO/TICKET NUMBER
213	INACCURATE PACKING SLIP INFORMATION
220	WRONG MERCHANDISE
221	SUPPLIER ERROR
222	OVERAGE
223	ORDER SHIPPED INCOMPLETE
231	ORDER SHIPPED AFTER CONFIRMATION/CANCEL
232	MISROUTE
233	INCORRECT TRACKING
234	NO ASSEMBLY INSTRUCTIONS INCLUDED
235	POOR PACKAGING
240	NO FIBER CONTENT OR CARE LABEL
241	NO COUNTRY OF ORIGIN