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Consolidated ASN Examples

- Requirement for EDI ASNs: Any purchase order (PO) received via EDI requires an associated ASN.
- Unique BOL# Reference: Each ASN must have a unique Bill of Lading (BOL) number for identification purposes.
- Consolidation of Shipments: Shipments going to either the consolidator or directly to the Distribution Center (DC) must be consolidated into one ASN by the DC. This consolidation should include all stores and associated POs in that shipment.
- Non-Consolidated ASNs: ASNs that are not consolidated do not meet the compliance standards when shipping to the DC or through the consolidator.
- Direct-to-Store Shipments: Shipments approved for direct-to-store delivery should not be consolidated. The ASN for these shipments should indicate the specific store number as the destination (ship-to).
- Penalties for Non-Compliance: There are penalties (violation charges) for non-compliance with these ASN requirements.

BOL Standards

There are three ways to construct a BOL number in order to be compliant with the Neiman Marcus Group standards.

The BOL number must be at least 17 digits (alpha-numeric only) and no more than 18 digits.

1. Members of GS1 can create a 17-digit standard BOL number using their unique GCP number (GS1 Company Prefix). The first 7 digits of the BOL number will be the company's GS1 prefix, while the last 10 digits will be made up by the supplier using a unique sequential numbering system.

Example: 12345670000000001

2. Create a BOL number using the 18-digit tracking number from the shipping company (UPS/FedEx).

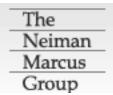
Example: 1ZE763664281066969

3. Create a 17-digit number using your NMG-assigned Supplier Site Number (8 digits) and then the last 9 digits will be made up by the supplier using a unique sequential numbering system.

Example: 10201785000000001

DC Location Codes

**NOTICE: APPROVED NUMERIC DC LOCATION IS REQUIRED ON THE ASN.



APPROVED NUMERIC DC LOCATION	DC LOCATION NAME
7077	West Coast Service Center (WCSC)
7010	Pinnacle Point Distribution Center (PNDC)
7099	East Coast Distribution Center (ECDC)
7060	East Coast Distribution Center (ECDC) Starting March 27
7088	Neiman Marcus Direct/Las Colinas (NMD)
7089	Neiman Marcus Direct/Pinnacle (NMD)
7090	Neiman Marcus Direct/Sun Logistics (NMD)

If shipping to Neiman Marcus Direct, the DC location will be the same as the store number. See illustration, below.

If ST N104 = 7088, BY N104 MUST = 7088 If ST N104 = 7089, BY N104 MUST = 7089 If ST N104 = 7090, BY N104 MUST = 7090

If ST N104 = 7099, BY N104 CANNOT = 7088 or 7089 or 7090 or 7010
If ST N104 = 7060, BY N104 CANNOT = 7088 or 7089 or 7090 or 7010
If ST N104 = 7077, BY N104 CANNOT = 7088 or 7089 or 7090 or 7010 or 7099 or 7060
If ST N104 = 7010, BY N104 CANNOT = 7090 or 7099 or 7060
If BY N104 = 1063, ST N104 CAN ONLY BE 1063 or 7099 or 7060

Store and DC Location Codes

Regional DC Store Alignment - Updated

If BY N104 = 1064, ST N104 CAN ONLY BE 1064 or 7099 or 7060

Creating a Carton ID

Follow the below guidelines to ensure your ASN carton IDs are in compliance.

- Carton IDs must be 20 digits numeric only
- -The first 10 digits should be your company's GS1 prefix, usually preceded by a few extension digits that are leading zeros. Note: If your company does not have a GS1 prefix you can use your NMG Supplier Site number instead
- -The last 10 digits will be unique per carton ID. The very last number will be a check digit number
 - Carton IDs should be automated from either your 3rd party EDI provider or internal software system that generates labels.
 - Do not duplicate carton IDs, as it will cause the ASN to fail

ASN FAQs

What is a SCAC code and where do I find it?



The Standard Carrier Alpha Code (**SCAC**) is a 4 letter abbreviation used to identify road transport companies. If you do not know which SCAC code to use, please contact

NMG Transportation@neimanmarcus.com.

Below are the most commonly used SCAC codes:

FEDX = FedEx Air

RPSC = FedEx Ground

UPND = UPS

GATR = Performance Team CA

GBEA = The Gilbert Company NJ

DHLX = DHL

DYXE = Dynamex Operations East

IWHS = International Warehousing Group

HDCX= Hand Carry

I need to make changes to an ASN that I have already sent. What should I do?

Use the original BOL # and indicate that it is a revision or duplicate (depending on your system or EDI provider) by changing the purpose code to 07 so the updated/consolidated ASN will overly the initial ASN. Do not change the BOL #!

I accidentally sent an ASN twice and received an e-mail stating 'We cannot process this shipment until the ASN is corrected and retransmitted." How should I handle this?

If you received a 997 acknowledgement for the first ASN transmission, the ASN has been accepted. The second transmission was rejected because it was a duplicate BOL. Reply to the e-mail noting that the second ASN was sent in error. No further action is required.

I sent my ASN using the wrong BOL number so I sent it again using the correct BOL number. Shortly after, I received an email reporting fatal errors related to duplicate carton numbers.

Once an ASN has been accepted and acknowledged by NMG, the BOL number cannot be changed. If the only change was to the BOL number, NMG will be able to process your shipment using the original ASN as long as it matches the carton label on the box received.

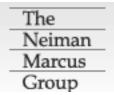
My ASN was rejected indicating 'Incorrect DC/Store Associations'. I submitted a consolidated ASN with ship to/DC number 7099 and stores 7010, 7088 and 7089. How do I fix it?

You must follow the routing information on NMGOps.com to determine where to ship. Below are rules that always apply:

- Each DC must have its own ASN with a unique BOL number
- Location 7077, 7010, 7099, 7088 & 7089 are all DCs and each must have its own ASN with a unique BOL
- Store 7088 can only go to DC 7088 (No other DC or store can be on a 7088 ASN)
- Store 7089 can only go to DC 7089 (No other DC or store can be on a 7089 ASN)
- Store 7010 can only go to DC 7010
- Store 7099 can only go to DC 7099

Place each DC location onto a separate ASN document with a unique BOL number then resend all 4 ASNs.

I received a 'Duplicate Carton Number Transmitted' error. I do not understand if I should resend or not. How do I resolve this?



A duplicate carton number error could mean a couple of things. If you do not know how to resolve this, please e-mail your question to EDI856@neimanmarcus.com.

- 1. <u>The carton ID was listed more than once in a single 856 ASN transmission</u> remove the duplicate carton from the ASN and retransmit the ASN.
- 2. <u>The carton ID was already received on a different ASN</u> If the carton number was used on two different BOLs, the first will be accepted and the second rejected

I sent my ASN without a BOL# because my shipment is small parcel. My ASN was rejected with a 'BOL# Missing' error. What should I use in this field?

The BOL# is required on all ASNs to NMG. Leaving this field blank or using zeroes will result in ASN failure. Use a system generated bill of lading number or the leading tracking number from the small parcel carrier. NOTE: BOL# must be alphanumeric only, excluding spaces and special characters. I sent 10 separate ASNs all using BOL# 9999999991234567, but I received a failure notice for 9 of them indicating 'Duplicate BOL'. Why did 9 of the 10 ASNs fail? And how do I fix it? The 1st ASN was accepted so all of the others were rejected because they are duplicates of an ASN

The 1st ASN was accepted so all of the others were rejected because they are duplicates of an ASN that we have already received and acknowledged. NMG cannot accept multiple ASNs using the same BOL number. All of the cartons from the 10 ASNs must be consolidated into one ASN (if for the same DC location) using the BOL Number from the accepted ASN. Change the purpose code to 07 (revision) so the updated/consolidated ASN will overlay the initial ASN.

I transmitted 2 ASNs. On one I used location 091 and on the other location 096. Why did my ASNs fail?

Fall 2016, location codes changed to 4 digits. For old to new location numbers, visit New Store and DC Location Codes.

I received a 'Ship To Location Missing' error when I sent an ASN for a direct to store shipment. I left the DC/ShipTo field blank since the merchandise was not shipped to the DC. What should I have put in this field to allow my ASN through?

All ASNs require a location code in the ShipTo/DC number field. When shipping directly to the store, the store number should be used instead of the DC number. NOTE: If you receive an 850 PO, you **must** send an ASN whether it is shipped to a DC or directly to a store.

My ASN was rejected when submitted for a collateral PO that was not received via EDI. Instead of a PO number, I noted "COLLATERAL" as the PO number. The error notices states 'PO Number is not numeric'. Please help me fix and resend my ASN.

Collateral POs do not require ASNs. If you did not receive an 850 PO for the collateral PO, you should not send an ASN. Note: The PO number field in all EDI transactions must be numeric only, not containing any spaces or special characters.